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1054 U.S.P.  
10/6/01

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\*LIMITED TO MATTERS  
AND PROCEEDINGS BEFORE  
FEDERAL COURTS & AGENCIES  
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July 19, 2001

Commissioner for Patents  
Washington, D.C. 20231

**Box Patent Application**

Re:   U.S. Non-Provisional Utility Patent Application under 37 C.F.R. § 1.53(b)  
Appl. No. To Be Assigned; Filed: July 19, 2001

For:   **System and Method for Characterizing Optical Systems Using  
Holographic Reticles**

Inventors:   Matthew E. Hansen

Our Ref:   1857.0300001/JTH/TAD

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.  
Patent and Trademark Office:

1. PTO Fee Transmittal (Form PTO/SB/17)(*in duplicate*);
2. PTO Utility Patent Application Transmittal (Form PTO/SB/05);
3. Application Data Sheet;
4. U.S. Utility Patent Application entitled:

**System and Method for Characterizing Optical Systems Using Holographic  
Reticles**

Commissioner for Patents  
July 19, 2001  
Page 2

and naming as inventors:

Matthew E. Hansen

the application consisting of:

- a. A specification containing:
  - i. 41 pages of description prior to the claims;
  - ii. 8 pages of claims (claims 1-57);
  - iii. a one (1) page abstract;
- b. 38 sheets of drawings: (Figures 1A, 1B, 2-4, 5A, 5B, 6, 7, 8A, 8B, 9A, 9B, 10A, 10B, 11A, 11B, 12-15, 16A-16D, 17, 18, 19A, 19B, 20, 21, 22A, 22B, 23A-23F, 24-28, 29A-29C, 30-34, 35A, 35B, and 36); and
- c. An original executed Declaration;

5. Authorization to Treat a Reply As Incorporating An Extension of Time Under 37 C.F.R. § 1.136(a)(3)(*in duplicate*);
6. Recordation Form Cover Sheet;

✓

An original executed Assignment to Silicon Valley Group Inc., recordation of which is hereby respectfully requested;

8. Two (2) return postcards; and
9. Our check No. 31953 for \$ 1416.00 to cover:

\$ 710.00 Filing fee for patent application;  
\$ 40.00 Assignment recordation fee(s); and  
\$ 666.00 Fee for excess claims.

Commissioner for Patents  
July 19, 2001  
Page 3

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Jeffrey T. Helvey  
Attorney for Applicant  
Registration No. 44,757

JTH/TAD:ayh  
Enclosure

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.	1857.0300001
First Inventor	Matthew E. Hansen
Title	System and Method for Characterizing Optical Systems Using Holographic Reticles
Express Mail Label No.	

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages 50]  
(preferred arrangement set forth below)  
 - Descriptive title of the Invention  
 - Cross Reference to Related Applications  
 - Background of the Invention  
 - Brief Summary of the Invention  
 - Brief Description of the Drawings (if filed)  
 - Detailed Description  
 - Claim(s)  
 - Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 38]

5.  Oath or Declaration [Total Pages 2]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63(d))  
 (for continuation/divisional with Box 18 completed)  
 i.  **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s)  
 named in the prior application, see 37 CFR §§  
 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76.

7.  CD-ROM or CD-R in duplicate, large table or Computer Program  
(Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a.  Computer Readable Form (CRF)

b. Specification Sequence Listing on:  
 i.  CD-ROM or CD-R (2 copies); or  
 ii.  paper

c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))

10.  37 CFR 3.73(b) Statement  Power of Attorney  
(when there is an assignee)

11.  English Translation Document (if applicable)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcards (MPEP 503)  
(Should be specifically itemized)

15.  Certified Copy of Priority Document(s)  
(If foreign priority is claimed)

16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17.  Other: Authorization under 37 C.F.R. § 1.136(a)(3)

Other: The name of the assignee is: Silicon Valley Group, Inc.

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-Part (CIP)  
 Prior application information: Examiner \_\_\_\_\_ of prior application No: 09/339,506  
 Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

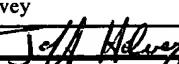
**19. CORRESPONDENCE ADDRESS** Customer Number or Bar Code Label

Customer No. 26111

or  Correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME	STERNE, KESSLER, GOLDSTEIN & FOX, P.L.C. PATENT, TRADEMARK OFFICE		
ADDRESS			
CITY	STATE	ZIP CODE	
COUNTRY	TELEPHONE	FAX	

NAME (Print/Type)	Jeffrey T. Helvey	Registration No. (Attorney/Agent)	44,757
SIGNATURE			
Date	7/15/01		

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0300001apptrans

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT**
**(\$1416.00)**
**C mpt te if Kn wn**

Application Number	To Be Assigned
Filing Date	July 19, 2001
First Named Inventor	Matthew E. Hansen
Examiner Name	To Be Assigned
Group Art Unit	To Be Assigned
Attorney Docket No.	1857.0300001

**METHOD OF PAYMENT (check one)**

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number **19-0036**  
Deposit Account Name **Sterne, Kessler, Goldstein & Fox P.L.L.C.**

Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

Applicant claims small entity status  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other\*  
\*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

**FEE CALCULATION**
**I. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	710	201 355 Utility filing fee	<u>710.00</u>
106	320	206 160 Design filing fee	
107	490	207 245 Plant filing fee	
108	710	208 355 Reissue filing fee	
114	150	214 75 Provisional filing fee	

**SUBTOTAL (1) (\$ 710.00)**

**2. EXTRA CLAIM FEES**

Extra	Fee from below	Fee Paid
Total Claims 57 - 20** = 37	X \$18.00	= \$666.00
Indep. Claims 3 - 3** = 0	X 0.00	= \$0.00

**Multiple Dependent**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim
108	80	209 40 **Reissue independent claims over original patent
110	18	210 9 **Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$ 666.00)**

\*\* or number previously paid, if greater; For Reissues, see above

**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	481	40	Recording each patent assignment per property (times number of properties)	\$40.00
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify):

Other fee (specify):

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$ 40.00)**

**SUBMITTED BY**
**Complete (If applicable)**

Name (Print/Type)	Jeffrey T. Helvey	Registration No. (Attorney/Agent)	44,757	Telephone	202-371-2600
Signature	<i>Jeffrey T. Helvey</i>			Date	7/19/01

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